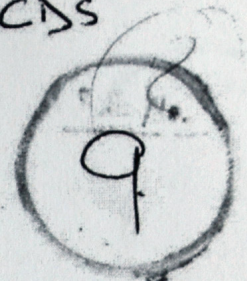


MA CDS



3964

1. Originator

CNS

2. Item

2 - OWN FORCES - ROEs FOR SSIJs

3. Rationale (to include forces involved, implications for other decisions and other Departments)

HMS SPARTAN arrives in the Falklands op area late on 11 April. She will require ROEs and operational instructions by 8 April, preferably on 7 April.

A draft note to S of S is attached.

CHIEF OF DEFENCE STAFF

DATE	COPIES
CDS	CNS
	CGS
HJ SCDS(A)	CAS
SCDS A 1	D. COS
	VCDS (R&L)
HJ SCDS(B)	VCDS (H)
ACDS E 1	ACDS (ORI)
ADC	ACDS (OPS)
ADC	ACDS (POL)
ACDS	ACDS (R&L)
ACDS	ACDS (S)
FILE: 2038/1	DS SEC

4. Decision Required from ~~DOX~~ COS

Approval/Note/Refer to COS/TWC for Approval/Info

5. Date/Time Decision by which Required

At 060930A meeting

6. Disposal by Controller DSC

Referred: Out of Committee/CCTV/Meeting at on

A 3964

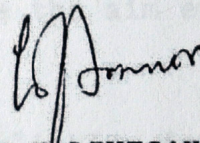
→ Sec 1SL

Copies to:
Sec VCNS
Sec ACNS(O)
DS 5

RULES OF ENGAGEMENT FOR SSNS

Draft papers which First Sea Lord may wish to forward to SECCOS for consideration by the Chiefs of Staff at their meeting 0930 6 April, are attached.

6 April 1982


M H LIVESAY
Captain, Royal Navy
DIRECTOR OF NAVAL WARFARE

RULES OF ENGAGEMENT FOR THE SSN

1. Rules of engagement are the means by which political control can be exercised in a position of military confrontation. They explain and define the scope for action by the Military Commander who is confronting the enemy. To be successful they must define with precision the limits of the action authorised and, at the same time, allow the commander on the spot the maximum freedom to achieve the aim encompassed by the rules.

2. This is best achieved by establishing in advance a broad selection of rules giving a variety of options from which the political directive may be selected and authorised. These rules should be promulgated in advance of the operation so that the Military Commander concerned is familiar with them.

3. The basic rules are those which will preserve the status quo. From these a series of rules is developed defining the escalatory steps by which military forces can achieve the expanded political aim, *usually as a select package* when these rules are approved by *measures as to*

4. The rules set out in the attached paper are specifically for use by SSNs employed on OPERATION CORPORATE. Rules of engagement for other forces will be developed and submitted separately.

anyone to whom they may delegate authority.

5. The rules proposed for the SSNs are thus a catalogue of options defining the military consequences which the submarines are required to achieve to meet the political aim.

At the lowest end of the scale the rules need not be invoked at all and the SSN held clear. As the political and military aims expand ^{individual} the rules can be invoked ^{to} to achieve the desired effect after clearance by Ministers at the appropriate time.

proposed in the list from which specific rules may be selected.
2. All these rules may be further limited by the designation of a specific geographic area in which they will be in force.

Annex A:

Proposed Rules of Engagement for SSN's

surveillance of area allocated.
If detected evade. If unable to evade prosecuting units may be attacked only if they have attacked first.

midday.

TWO - Remain covert. Carry out surveillance of area allocated. If detected evade. If unable to evade prosecuting units may be attacked in self defence.

THREE - All vessels positively identified as ARGENTINIAN warships, submarines and naval auxiliaries may be attacked.

night/day
visibility
attacks
very unlikely
Arg ARGENTINIAN
submarines may
be attacked in
area if present.

FOUR - Any submarine detected may be presumed to be ARGENTINIAN.

OPERATION CORPORATE

PROPOSED RULES OF ENGAGEMENTS FOR SSN's

1. The following Rules of Engagement (ROE) for SSN's are proposed as the list from which specific rules may be selected.
2. All these rules may be further limited by the designation of a specific geographic area in which they will be in force.

IMPLICATIONS

- | | |
|---|--|
| <p>ONE - Remain Covert. Carry out surveillance of area allocated. If detected evade. If unable to evade prosecuting units may be attacked only if they have attacked first.</p> | |
| <p>TWO - Remain Covert. Carry out surveillance of area allocated. If detected evade. If unable to evade prosecuting units may be attacked in self defence.</p> | |
| <p>THREE - All vessels positively identified as ARGENTINIAN warships, submarines and naval auxiliaries may be attacked.</p> | <p>Night/poor visibility attacks very unlikely</p> |
| <p>FOUR - Any submarine detected may be presumed to be ARGENTINIAN.</p> | <p>Non ARGENTINIAN submarines may be attacked in error if present.</p> |

INTELLIGENCE

FIVE - All vessels which can be positively identified as ARGENTINIAN may be attacked.

Submarines will have insurmountable problem with merchantmen. They will certainly not be attacked at night and probably not at all.

SIX - If there is any doubt as to the nationality of a merchant vessel that vessel is not to be attacked.

Merchantmen will almost certainly not be attacked.

SEVEN - All merchant vessels which can be positively identified (eg by deck cargo) as carrying military supplies may be attacked.

No night attacks.

EIGHT - After the first successful attack on a vessel withdraw from scene of action and report. Current rules are cancelled after attack and Rule TWO is automatically in force until further orders.

Non ARGENTINIAN ships may be attacked.

If submarine satellite communications are defective submarine will have to use HF radio and be vulnerable to detection.

IMPLICATIONS

- NINE - After the first successful attack on a vessel withdraw from scene of action and report. Having reported continue patrol in accordance with ROE in force. If unable to clear report after 12 hours continue patrol in accordance with ROE in force. As Rule EIGHT
- TEN - After the first successful attack on a vessel withdraw from scene of action and report. If Rule EIGHT is in force and you are unable to clear your report after 12 hours then Rule EIGHT may be deemed cancelled and Rule NINE to have been in force. As Rule EIGHT
- ELEVEN - After the first successful attack on a vessel continue patrol in accordance with ROE in force.
- TWELVE - Situation Reports are to be made at (designated) intervals on (designated) units detected. As Rule EIGHT
- THIRTEEN - All vessels within designated area may be attacked. Non ARGENTINIAN ships may be attacked.