



9

Orders required not to cross
200 mile line.

Pa

Decisions on SG. Monday evening

~~PS/PUS~~

cc. Private Secretary
Mr Wright
Sir I Sinclair
Mr Weston

Mr Weston

You may want to look at
the these pp. 28/19/4

FALKLAND ISLANDS: RULES OF ENGAGEMENT FOR OPERATION PARAQUET

A
B
C

1. In my minute to the Private Secretary of 15 April I reported that the MOD would be circulating papers for today's meetings of officials under Sir R Armstrong at 11.00 and of Ministers at OD(SA) and on rules of engagement for the recapture of South Georgia. I have now received from the MOD a revised version of the rules of engagement which will be discussed at Sir R Armstrong's meeting. I have not yet received papers for OD(SA).

2. The revised rules of engagement are an improvement over the version discussed at the Chiefs of Staff meeting yesterday. Some effort has been made to deal with problems connected with attacks without warning on merchant ships. We are however still left with the problem of risks of inadvertent attacks on Soviet submarines.

3. I recommend that at Sir R Armstrong's meeting the PUS should take the following line on these two points:

a. Attacks on merchant ships

The inclusion of Rule 202 in phase 2 and in phase 3 of the operation (annexes B and C) delegates authority to commanders to 'warn off enemy units'. Nevertheless suffix Mike, allowing attacks on all vessels positively identified as Argentinian (which include merchant shipping), still stands. There is therefore some risk of ambiguity (see sub paragraph c below). In Annex C the inclusion of suffix ~~November~~ allows attacks on aircraft (including civil aircraft) positively identified as Argentinian. Thus attacks without warning on merchant shipping and civil aircraft are still a possibility.

b. Soviet submarines

The risk of attack on Soviet submarines still remains. Suffix Juliett in phases 2 and 3 allows attacks on all submarines detected which are assessed to be conventional. This is based on the assumption that no conventional submarines except Argentine submarines will be in the area and that RN surface ships can distinguish between conventional and nuclear powered submarines. The former point is speculative; and we know that the latter may not be the case.

ALQ 050/G

RECEIVED	/c.

c. General

In general the revision made to the rules of engagement following yesterday's meeting of the Chiefs of Staff has, if anything, made them less comprehensible than they were before. I frankly doubt whether naval commanders will obtain from them a clear picture of what they are supposed to do (see sub-paragraph (a) above). It is not for example clear what is meant by the word 'Argentinian' in the middle of pages B2 and C2. Furthermore, the rule of engagement No 5 (pages B3 and C3) refers to the 'classification' of submarines as nuclear powered. I do not know what this is supposed to mean; and I very much doubt whether a submarine commander would understand either.

4. At this morning's meeting with the Secretary of State, it was agreed that there is no need now to reach decisions on the rules of engagement for phase 2 and 3 (Annexes B and C). Only Annex A referring to phase 1 (transit of the high seas) is of immediate relevance. I therefore further recommend that at Sir R Armstrong's meeting today the PUS should argue that Ministers should not be / into hasty decisions on Annexes B and C. We can however agree now with the rules for the first phase (Annex A). These give rise to no difficulties.

/rushed

D H Gillmore

16 April 1982

W9

2038/1

Paraguet

PS/Secretary to the Cabinet (3 copies)
PS/PUS (FCS)
PS/PUS
PA/DUS (FCO)
PA/Legal Adviser (FCO)

Copy to:

Sec/CNS
MA/CGS
PSO/CAS
ACDS (Ops)
PSO/CDS
Head SCDS (A)
Float

MEETING OF OFFICIALS - 161100A APR 82

Please see attached a paper which will be raised for discussion at the Meeting of Officials scheduled for 1100A hours this morning.

[Handwritten signature]

J. WARDSTAFF
Gp Capt
Head SCDS (A)
Ext 3142 MB

16 Apr 82

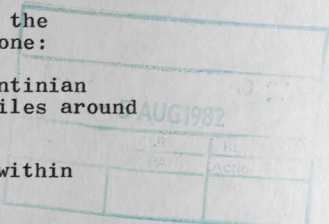
Att

- 5 AUG 1982	
INDEX	

RULES OF ENGAGEMENT FOR OPERATION PARAQUET

1. There are three sets of instructions which need to be agreed for issue to ships involved in Operation Paraquet. These cover:

- a. Transit towards South Georgia on the high seas outside any declared Zone:
- b. Transit within the declared Argentinian "Defence Zone" of 200 nautical miles around South Georgia:
- c. Execution of Operation Paraquet within this Zone.



2. The Rules of Engagement to cover these three phases involve the following:

a. Transit towards South Georgia

Overriding aim is to avoid provocation but respond to aggression with firmness.

i. Surface Vessels

Minimum force to be used in response to any clear intent to engage. All units may assist in defence of any unit which is attacked. Maritime International Law is not to be broken unless necessary in order to achieve aim.

ii. SSNs

Surveillance to be covert, evading if detected. Engagement of enemy only if attacked first.

Authorised for immediate issue.

Arrive 20th April

b. Transit within Argentinian "Defence Zone"

Aim is to show sufficient force to dissuade Argentinian units from enforcing their "Defence Zone".

i. Surface Vessels

To achieve transit, take any necessary action against positively identified Argentinian ships. In the case of merchant ships this would in the first instance be to warn off. You may attack any submarines which demonstrate hostile intent. Instructions on mutual defence and Maritime International Law as at 2 a. i. above.

whether naval or merchant & Argentinian combat aircraft

non-Argentinian

ii. SSNs

Any conventional submarine detected may be presumed to be Argentinian and may be attacked. All Argentinian warships and naval auxiliaries positively identified may be attacked.

c. Execution of Operation

Aim is to repossess South Georgia.

i. Surface Vessels

Any action necessary to achieve aim may be taken against ships and aircraft positively identified as Argentinian. You may attack any submarine which demonstrates hostile intent.

ii. SSNs

As at 2 b. ii. above.

HIGH SEAS ROE

1. Area Limits

The South Atlantic south of 10°S and West of 20°W

excluding:

- a. Brazilian, and Uruguayan territorial seas.
- b. Sea areas within 200 miles of the coasts of Argentina, the Falkland Islands, South Georgia and South Sandwich Islands.

2. Time Limit

Continuous.

3. Recommended Policies

POLITICAL

BRAVO

MEANING

Status Quo

EXPANSION

Avoid provocation but be prepared to meet force with force. The aim is a safe transit to South Georgia and the Falkland Islands.

MILITARY

Surface Ships and Aircraft

102 Commanding Officers and Aircraft
Captains are to respond to any aggression with tactful firmness and are to exhibit a determination to meet any

escalation, though not to exceed that already carried out by the enemy.

103 When the enemy is about to engage with weapons, minimum force is to be used to prevent the successful employment of those weapons.

Excessive or untimely use of force would have serious military or political consequences.

207 For the purpose of self defence attack on one unit may be considered an attack on all other units in company.

208 Notwithstanding other Rules which may be in force the commander of a unit has the inherent right to use such force in self defence as may be necessary to protect his command and the lives of his men.

302 Harrassment is to be carried out to a similar extent, and in a similar degree to any which is received by units within the force.

403 Maritime International Law is not to
be broken unless it is necessary in
order to achieve the aim.

506 Surveillance and shadowing may be
overt, or covert. The requirement
to remain undetected need not have
priority over other operational
objectives, eg detection by
potential hostile forces may be
invited in order to gain tactical
intelligence.

SSN

MEANING

EXPANSION

1	Remain covert. Carry out surveillance of area allocated. If detected, evade. If unable to evade, prosecuting units may be attacked only if they have attacked first.	
13	Situation reports are to be made at discretion on all ARGENTINE units.	

ARGENTINIAN DECLARED SOUTH GEORGIA DEFENCE ZONE - ROE

1. Zone Limits

200 NM from the coast of South Georgia and the South Sandwich Islands.

2. Time Limits

From entry into Zone until further notice.

3. Recommended Policies

POLITICAL

MEANING

EXPANSION

CHARLIE

Escalate

Any attempt by ARGENTINIAN units to enforce the "Defence Zone" should be met with sufficient show of force to dissuade recurrence.

MILITARY

Surface Ship and Aircraft

104

Commanding Officers and Aircraft Captains may take such action as is necessary to achieve their military task, but are not to exceed it.

With suffixes

HOTEL

All aircraft positively identified as ARGENTINIAN combat aircraft. (Combat aircraft are to include only fighter, bomber, ground attack and LRMP aircraft and armed and ASW helicopters).

SECRET UK EYES B
DRAFT

ANNEX B TO
CDS
DATED
(Continued)

JULIETT All submarines detected which are assessed to be conventional may be presumed to be ARGENTINIAN.

for surface ships

MIKE All vessels positively identified as ARGENTINIAN.

202 Authority is delegated to warn off all ~~enemy~~ units which constitute a threat.

(non-Argentinian)

(Merchant Ships)

205 Authority is delegated to attack any ^{Argentinian} ship, submarine or aircraft that demonstrates hostile intent.

(ARGENTINIAN)

207 For the purpose of self-defence, attack on one unit may be considered an attack on all other units in company.

208 Notwithstanding other Rules which may be in force the commander of a unit has the inherent right to use such force in self defence as may be necessary to protect his command and the lives of his men.

403 Maritime International Law is not to be broken unless it is necessary in order to achieve the aim.

506 Surveillance and shadowing may be
 overt, or covert. The requirement
 to remain undetected need not have
 priority over other operational
 objectives, eg detection by potential
 hostile forces may be invited in order
 to gain tactical intelligence.

SSNs

MEANING

EXPANSION

4 All vessels positively identified
 as ARGENTINIAN warships,
 submarines and naval auxiliaries
 may be attacked.

5 Any submarine detected not
 classified nuclear may be
 presumed to be ARGENTINIAN and
 may be attacked.

| Cannot attack
a nuclear
powered
submarine

13 Situation reports are to be made
 at discretion on all ARGENTINE
 units.

OPERATION PARAQUET ROE

1. Zone Limits

200 NM from the coast of South Georgia and the South Sandwich Islands.

2. Time Limits

When ordered to execute Operation PARAQUET.

3. Recommended Policies

POLITICAL

MEANING

EXPANSION

CHARLIE Escalate

Repossess South Georgia

MILITARY

Surface Ships and Aircraft

104 Commanding Officers and Aircraft Captains may take such action as is necessary to achieve their military task, but are not to exceed it.

With suffixes

JULIETT All submarines detected which are assessed to be conventional may be presumed to be ARGENTINIAN.

MIKE All vessels positively identified as ARGENTINIAN.

NOVEMBER All aircraft positively identified as ARGENTINIAN.

202 Authority is delegated to attempt to warn off all enemy units which constitute a threat.

(Merchant Ships)

205 Authority is delegated to attack
any ship, submarine or aircraft
that demonstrates hostile intent.

(ARGENTINIAN)

207 For the purpose of self-defence,
attack on one unit may be
considered an attack on all other
units in company.

208 Notwithstanding other Rules
which may be in force the
commander of a unit has the
inherent right to use such
force in self defence as may
be necessary to protect his
command and the lives of his
men.

403 Maritime International Law is
not to be broken unless it is
necessary in order to achieve
the aim.

508 Counter surveillance is to be
overt. Every effort is to be
made to chase the intruder from
his objectives and area.

ANNEX C TO
CDS
DATED
(Concluded)

SSNs

MEANING

EXPANSION

- | | |
|----|--|
| 4 | All vessels positively identified as ARGENTINIAN warships, submarines and naval auxiliaries may be attacked. |
| 5 | Any submarine detected not classified nuclear may be presumed to be ARGENTINIAN and may be attacked. |
| 13 | Situation reports are to be made at discretion on all ARGENTINE units. |