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ENCL No.
19

ACNS(O)
SEC/ISL
SEC/VNS
Hd of DS5
Hd of DS8
Hd of DS11
DN Plans
DNST
DNAW
DNW

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LOOSE MINUTE

ACDS(Ops)
DMO
ACAS(Ops)
COS CGRM
AUS(D Staff)

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Copies to: EMM
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DS5 do
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cc: ROK pack

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OPERATION CORPORATE - RATIONALISATION OF ROE

1. The changing pattern of operations, the escalation and extension of areas of conflict and the demands of operation PARQUET have combined to lead to Rules of Engagement being authorised as events dictated. The current Rules of Engagement are satisfactory to meet the Force Commander's mission but their promulgation at present is, to a degree, untidy and the geographical limitations imposed earlier have been in some cases overtaken by events.
2. I have therefore had DNW, in consultation with DS5 and DS11 prepare a rationalisation of the Rules of Engagement a copy of which is attached for clearance at 2-Star level.
3. Your clearance is requested by 051800A.

5 May 1982

Hyard
for A J WHEATSTONE
Rear Admiral
ACNS(O)

D/DNW/97/3/10/1

Copy No .10. of 9 copies

ACNS(O)

Copy to:
Sec/1SL
Sec/VCNS
Head of DS5
Head of DS8
Head of DS11
DN Plans
DNOT
DNAW

OPERATION CORPORATE - RATIONALISATION OF ROE

1. As discussed between DNW and ACNS(Op) the attached draft OD(SA) paper and appropriate annexes prepared by DNW and DS5 set out the proposed rationalisation of ROE. I recommend you forward it to your 2-Star colleagues for clearance.

4 May 1982

B J Carr
B J CARR
Commander RN
for DNW

DRAFT

Draft Minute

ACDS(Ops)
DMO
ACAS(Ops)
COS CGRM
AUS(D Staff)

Copy to:
FCO Defence Dept

OPERATION CORPORATE - RATIONALISATION OF ROE

1. The changing pattern of operations, the escalation and extension of areas of conflict and the demands of operation PARAQUET have combined to lead to Rules of Engagement being authorised as events dictated. The current Rules of Engagement are satisfactory to meet the Force Commander's mission but their promulgation at present is, to a degree, untidy and the geographical limitations imposed earlier have been in some cases overtaken by events.
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5 May 1982

ACNS(O)

DRAFT

COS S18(10)

SECRET UK EYES B

DRAFT

DRAFT OD(SA) PAPEROPERATION CORPORATE:
RATIONALISATION OF RULES OF ENGAGEMENT AND AREAS OF APPLICABILITY

1. The Rules of Engagement currently in force for Operation CORPORATE cover the following:

- a. Enforcement of the Total Exclusion Zone (TEZ) by surface ships and aircraft;
- b. Enforcement of the TEZ by SSNs;
- c. High Seas transit of surface ships and aircraft between 10°S and 35°S;
- d. High Seas transit of surface ships and aircraft south of 35°S;
- e. Surface ships and aircraft engaged on Operation PARAQUET inside the Argentinian 200 NM "Defence Zone" around South Georgia;
- f. SSNs engaged on Operation PARAQUET inside the Argentinian 200 NM "Defence Zone" around South Georgia.
- g. SSN operations other than those at (b) and (f).

In addition, instructions have been issued for use against surveillance aircraft and against surveillance and intelligence-gathering vessels.

DRAFT

2. Besides providing for SSNs separately from surface ships and aircraft, the current ROE thus cover five geographical areas and - in the case of the TEZ - draw a distinction between surface units directly engaged in its enforcement and those not so engaged. Although there has been a clear political and military case for devising differing sets of rules progressively to meet the requirements of distinct operations, their implementation (and monitoring) is liable to become increasingly complex unless they can be rationalised. This paper, while proposing a minimum of changes to ROE in force, therefore seeks to rationalise ROE instructions by reducing the areas of applicability to two:

- a. The TEZ and
- b. The High Seas between 5° and 60° South and 5° and 70° West, in both cases distinguishing as before between SSNs and other units.

The Total Exclusion Zone

3. As it was envisaged that surface operations against targets within the TEZ would not necessarily be mounted initially from platforms inside the Zone, the surface TEZ Rules were made to apply to "UK Forces directly engaged in the enforcement of the TEZ". The geographical demarcation now proposed would cause units to change automatically from TEZ to High Seas ROE and vice-versa on crossing the 200 mile perimeter. SSNs are already bound by the TEZ/High Seas distinction and would continue to be so. The list of ROE applicable to units within the TEZ would be identical with that now available to units enforcing it.

The High Seas

4. The proposed High Seas area limits embrace all previous ROE areas outside the TEZ (10°S - 35°S, 35°S - 60°S and PARAQUET) and extend the scope of Operation CORPORATE North and East to include Ascension Island. The threefold aim is:

- a. to simplify Command and Control in the next stage of the Operation;
- b. to enhance the security of our own forces from pre-emptive attack; and
- c. to counter possible action against prime "soft" targets such as our troopships and support ships and Ascension Island itself.

5. ~~The proposed surface ROE to cover the new High Seas area are those already in force for the High Seas area South of 35°S.~~

These have the following implications for areas not previously covered by them:

- a. Rule 104 (Take only necessary action to achieve military task) would replace Rules 102 and 103 (Respond to aggression, but without excessive use of force) currently in force for High Seas North;
- b. Argentinian combat aircraft would be deemed to be demonstrating hostile intent if they were to be detected within 40 miles of any of our forces (currently applicable only to units enforcing the TEZ, 25 NM currently applicable otherwise).

c. for the purpose of assessing hostile intent, submarines detected outside a limit of 200 NM from the Brazilian and Uruguayan coasts (and the Islands of Trinidade and Martin Vaz) which were assessed to be conventional would be presumed to be Argentinian. This suffix (JULIETT) does not currently apply to High Seas North; and

d. Rule 206 (One enemy attack may be assumed to be the first in a series and threatening enemy units may be attacked) would enhance security against a multiple attack throughout the CORPORATE area.

The proposed SSN ROE to cover the High Seas differ from those in force inside the TEZ in that they exclude naval auxiliaries from attack.

6. The proposed instructions covering Argentinian surveillance aircraft and surveillance and intelligence-gathering vessels are those already in force and would apply to the entire revised CORPORATE area.

Recommendation

7. I recommend early agreement to the proposed revision to permit the signalling of the rationalised ROE on 6 May 1982.

RULES OF ENGAGEMENT FOR ENFORCEMENT OF FALKLAND ISLANDS

TOTAL EXCLUSION ZONE

1. a. Exclusion Zone Limits. A circle 200 nm radius centred on position 51° 40' South 59° 30' West, from seabed to unlimited height.
- b. Applicability. Applicable to all UK forces in the zone and to targets situated within the zone.
2. Time Limits. On receipt until further notice.
3. Recommended Policies.

POLITICALMEANINGEXPANSION

CHARLIE

Escalate

Enforce the Total Exclusion Zone, establishing a blockade to prevent the entry or exit of Argentinian surface ships, submarines and aircraft.

MILITARYSurface Ship and Aircraft

104 Commanding Officers and Aircraft Captains may take such action as is necessary to achieve their military task, but are not to exceed it.

123 Carry out attacks against designated targets with conventional weapons.

With suffixes

INDIA	All vessels positively identified as ARGENTINIAN warships, submarines and naval auxiliaries (latter as designated separately).	Night and low visibility identification and attacks will be seriously hampered.
JULIETT	All submarines detected which are assessed to be conventional may be presumed to be ARGENTINIAN.	
LIMA	All aircraft positively identified as ARGENTINIAN AIR FORCE or ARGENTINIAN NAVAL aircraft.	

104A

All aircraft which can be positively identified (eg by unloading on the ground or by dropping from the air stores or personnel) as carrying military supplies or military personnel.

Non ARGENTINIAN aircraft may, if assisting the ARGENTINES, be attacked.

SIERRA

All aircraft on the ground in the Falkland Islands.

TANGO

Runways and airport installations including airfield defences in the Falkland Islands.

UNIFORM

All aircraft that cannot be positively identified because of cloud or light conditions.

202

Authority is delegated to attempt to warn off all Merchant ships within Exclusion Zone and Commercial Aircraft within Exclusion Zone which constitute a threat.

(MERCHANT SHIPS) (COMMERCIAL AIRCRAFT)

And Caveat: If application of Rule 202 is ineffective resort should be made to Rule 104.

205

Authority is delegated to attack any Non ARGENTINIAN ship, submarine or aircraft that units are included demonstrates hostile intent.

With suffix:

JULIETT	All submarines detected which are assessed to be conventional may be presumed to be ARGENTINIAN.	There are unlikely to be non ARGENTINE conventional submarines in the area but only NIMRODS and JEZEBEL fitted SEA KINGS will be able to confirm whether submarine is conventional or nuclear.
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And Caveat: Any Argentinian warship or submarine detected within 25 miles and any Argentinian combat aircraft detected within 40 miles of UK Warships, RFAs or Supporting Ships is deemed to be demonstrating hostile intent.

206

Authority is delegated to assume that one attack by an (enemy) unit is the first in a planned multiple attack. All threatening units may be attacked in order to prevent a pre-emptive attack and ensure survivability.

(ARGENTINIAN)

207

For the purpose of self-defence, attack on one unit may be considered an attack on all other units in company.

208

Notwithstanding other Rules which may be in force the commander of a unit has the inherent right to use such force in self defence as may be necessary to protect his command and the lives of his men.

403

Maritime International Law is not to be broken unless it is necessary in order to achieve the aim.

<u>SSNs</u>	<u>MEANING</u>	<u>EXPANSION</u>
FOUR	All vessels positively identified as ARGENTINIAN warships, submarines and naval auxiliaries may be attacked.	Night/poor visibility attacks very unlikely.
FIVE	Any submarine detected not classified nuclear may be presumed to be ARGENTINIAN and may be attacked.	
TWELVE	After the first successful attack on a vessel continue patrol in accordance with ROE in force.	
THIRTEEN	Situation reports are to be made at discretion on all ARGENTINE units.	

RULES OF ENGAGEMENT FOR OPERATION CORPORATE ON HIGH SEAS
SIDE FALKLAND ISLANDS TOTAL EXCLUSION ZONE

1. a. Area Limits. The South Atlantic between 5° and 60° South, and 5° and 70° West, excluding:
 - (1) Falkland Islands Exclusion Zone.
 - (2) 12 nm territorial waters of Argentina, Uruguay and Brazil.
 - (3) Where Suffix JULIETT is in force, the 200 nm claimed territorial seas of Brazil and Uruguay.
- b. Applicability. Applicable to all UK forces in the area and to targets situated within the area.
2. Time Limits. On receipt until further notice.
3. Recommended Policy.

<u>POLITICAL</u>	<u>MEANING</u>	<u>EXPANSION</u>
CHARLIE	Escalate	To ensure success of the mission to restore UK administration of the Falkland Islands.

NOTE: All aircraft positively identified as ARGENTINIAN combat aircraft. (Combat aircraft are to include only fighter, bomber, ground attack and LHMV aircraft and armed and ASW helicopters).

JULIETT All submarines detected which are assessed to be operational may be presumed to

RULES OF ENGAGEMENT FOR OPERATION CORPORATE ON HIGH SEAS
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 - (3) Where Suffix JULIET is in force, the 200 nm claimed territorial seas of Brazil and Uruguay.
- b. Applicability. Applicable to all UK forces in the area and to targets situated within the area.
2. Time Limits. On receipt . . . until further notice.
3. Recommended Policy.

<u>POLITICAL</u>	<u>MEANING</u>	<u>EXPANSION</u>
CHARLIE	Escalate	To ensure success of the mission to restore UK administration of the Falkland Islands.

NOTAL All aircraft positively identified as ARGENTINIAN combat aircraft.
(Combat aircraft are to include only fighter, bomber, ground attack and LAMP aircraft and armed and ASW helicopters).

JULIET All submarines detected which are assessed to be operational may be presumed to be operational.

RULES OF ENGAGEMENT FOR OPERATION CORPORATE ON HIGH SEAS
 () SIDE FALKLAND ISLANDS TOTAL EXCLUSION ZONE

1. a. Area Limits. The South Atlantic between 5° and 60° South, and 5° and 70° West, excluding:
 - (1) Falkland Islands Exclusion Zone.
 - (2) 12 nm territorial waters of Argentina, Uruguay and Brazil. or SSN ROE FIVE
 - (3) Where Suffix JULIET is in force, the 200 nm claimed territorial seas of Brazil and Uruguay.
- b. Applicability. Applicable to all UK forces in the area and to targets situated within the area.
2. Time Limits. On receipt until further notice.
3. Recommended Policy.

POLITICALMEANINGEXPANSION

CHARLIE

Escalate

To ensure success of the mission to restore UK administration of the Falkland Islands.

MILITARY

Surface Ships and Aircraft

- 104 Commanding Officers and Aircraft Captains may take such action as is necessary to achieve their military task, but are not to exceed it.
- 123 Carry out attacks against designated targets with conventional weapons.

With suffixes

IMPLICATIONS

GOLF All vessels positively identified as ARGENTINIAN warships and submarines (warships are to include only aircraft carrier, cruiser, destroyers, frigates, corvettes and armed patrol craft, MCM vessels, amphibious ships and craft).

Night and low visibility attacks will be seriously hampered.

HOTEL All aircraft positively identified as ARGENTINIAN combat aircraft. (Combat aircraft are to include only fighter, bomber, ground attack and LRMP aircraft and armed and ASW helicopters).

JULIETT All submarines detected which are assessed to be conventional may be presumed to be ARGENTINIAN.

ANNEX B TO

(Continued)

With Caveat: Suffix JULIETT is automatically in abeyance within the claimed 200 nm territorial seas of Brazil and Uruguay. Prevents risk of engaging non-ARGENTINIAN conventional submarines.

202 Authority is delegated to attempt to warn off all enemy units which constitute a threat.

205 Authority is delegated to attack any ship, submarine or aircraft that demonstrates hostile intent.

With Suffixes:

INDIA All vessels positively identified as ARGENTINIAN warships, submarines and naval auxiliaries (latter as designated separately).

JULIETT All submarines detected which are assessed to be conventional may be presumed to be ARGENTINIAN.

*With caveat: Suffix JULIETT
(etc etc as above)*

IMPLICATIONS

There are unlikely to be non ARGENTINE conventional submarines in the area, but only NIMRODS and JEZEBEL fitted SEA KINGS will be able to confirm whether submarine is conventional or nuclear. There thus remains a remote possibility that a neutral submarine may come under attack.

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403 Maritime International Law is not to be broken unless it is necessary in order to achieve the aim.

	<u>MEANING</u>	<u>EXPANSION</u>
	THREE - All vessels positively identified as either an ARGENTINIAN aircraft carrier, cruiser, destroyer, frigate, corvette or submarine may be attacked.	Amphibious warfare, vessels will not be attacked. Night/poor visibility attacks very unlikely
FIVE	Any submarine detected not classified nuclear may be presumed to be ARGENTINIAN and may be attacked.	
With Caveat:	ROE FIVE is automatically in abeyance within the claimed 200 nm territorial seas of Brazil and Uruguay.	Prevents danger of engaging non-ARGENTINIAN submarines, but puts SSN at risk.
TWELVE	After the first successful attack on a vessel continue patrol in accordance with ROE in force.	
THIRTEEN	Situation reports are to be made at discretion on all ARGENTINE units.	

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TWELVE	After the first successful attack on a vessel continue patrol in accordance with ROE in force.	
THIRTEEN	Situation reports are to be made at discretion on all ARGENTINE units.	

4. ADDITIONAL ROE

a. Surveillance Aircraft

(1) MODUK's AAA/19F 241030XZ APR 82.

OP CORPORATE - ROE against surveillance aircraft.

1. When an Argentine civil or military aircraft not covered by ROE ONE ZERO TWO HOTEL or ONE ZERO FOUR HOTEL has been positively identified both electronically by the Task Force and by visual observation by an intercepting Sea Harrier as conducting surveillance of the Task Force the following action is authorised.

a. An intercepting Sea Harrier should use the internationally accepted signalling procedure to order the departure of the surveillance aircraft from the area.

b. If the Argentine aircraft does not comply the Sea Harrier is to be ordered to fire across its path and to maintain harassment until the aircraft clears the area.

2. The Argentine aircraft is not (R) not to be fired at in any circumstances even if it fails to depart after the action in para 1 B above has been taken.

3. The area is defined as radius 40 miles from the nearest British Unit.

(2) MODUK's AAA/19F/JEE 252008Z APR 82.

OPERATION CORPORATE - ROE against surveillance
aircraft REF A: MODUK AAA/19F 231517Z APR 82.

1. If an Argentine aircraft as defined in
Ref A fails to depart after warning action in
Para one of Ref A has been taken, it is to be destroyed.

b. Surveillance and Intelligence Gathering Vessels

(1) MODUK's AAA/19F/JEE 292009Z APR 82.

OPERATION CORPORATE - ROE against Argentinian
surveillance and intelligence gathering vessels
engaged in OPERATION CORPORATE. When an Argentine
vessel is apparently engaging in surveillance of, or
intelligence gathering against, UK forces the
following action is authorised:

- a. The vessel is to be ordered to clear the
area and warned that force will be used if it
fails to comply.
- b. If the order and warning are ignored,
minimum force, which may include the firing of
warning shots, is to be used to secure the
vessels withdrawal.
- c. If the vessel still fails to comply it
may be fired upon and fire continued until it
complies with the instructions given or sinks.
- d. These instructions are to take effect
from 301330Z APR 82.

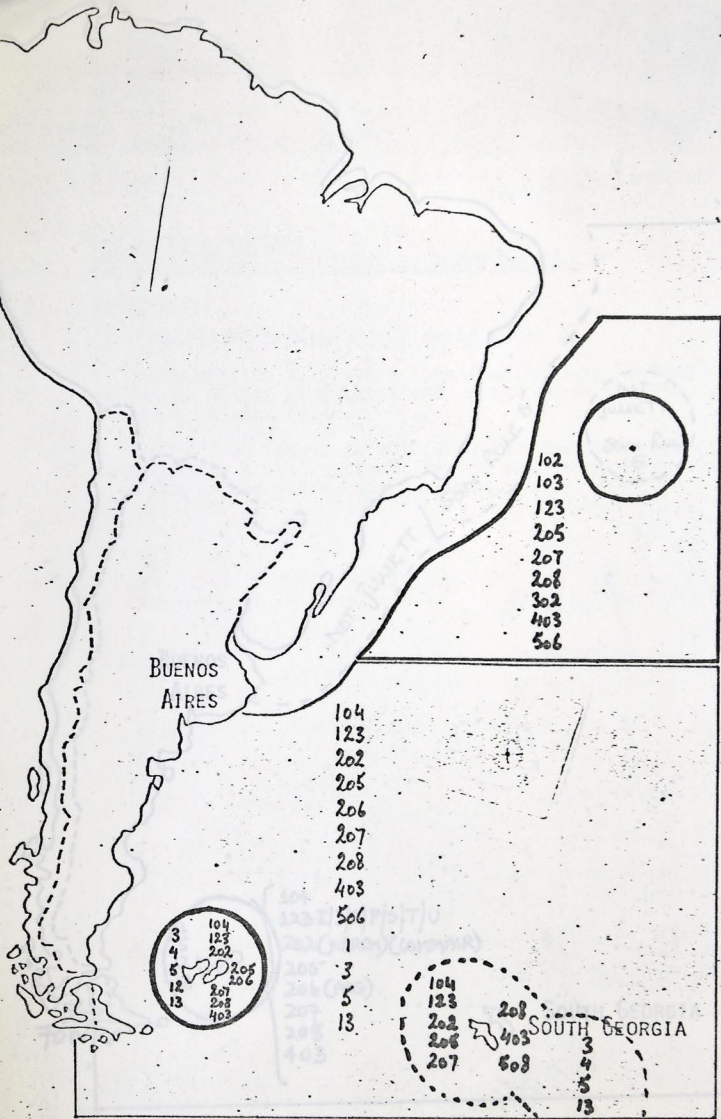
CURRENT ROE

(DOES NOT SHOW SUFFIXES OR CAVEATS)

CAPE VERDE 00

DAKAR

ASCENS



RESULT OF PROPOSED FOR AREA CHANGES

CAPE VERDE CO

DAKAR

5°S

ASCENS

BUENOS
AIRES

NOT JULIETT / SSN RULE 5

NOT
JULIETT
SSN RULE
5

- 104
- 123 I/J
- 202
- 205 I/J
- 206 (ARG)
- 207
- 208
- 403
-
- 3
- 6
- 12
- 13

4
5
12
13

- 104
- 123 I/J/L/P/S/T/U
- 202 (NERA) (COMMIR)
- 205
- 206 (ARG)
- 207
- 208
- 403

SOUTH GEORGIA

60°S